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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/629,237	07/28/2003	Steven G. Henry	200208277-1	6611

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EXAMINER
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PHAM, THIERRY L

ART UNIT	PAPER NUMBER
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2625

MAIL DATE	DELIVERY MODE
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06/06/2007

PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

## Office Action Summary

Application No.

10/629,237

Applicant(s)

HENRY ET AL.

Examiner

Thierry L. Pham

Art Unit

2625

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 28 July 2003.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-40 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-40 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 28 July 2003 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)            | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)   | Paper No(s)/Mail Date. _____                                      |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date <u>7/28/03, 10/22/04</u> .                                       | 6) <input type="checkbox"/> Other: _____                          |

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### DETAILED ACTION

- This action is responsive to the following communication: Nonprovisional application filed on 7/28/03.
- Claims 1-40 are pending.
- IDS filed on 7/28/03 & 10/22/04 have been considered and herein attached (PTO 1449) with Office Action.

#### *Claim Rejections - 35 USC § 112*

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claim 16 recites the limitation "the printed list". There is insufficient antecedent basis for this limitation in the claim.

#### *Claim Rejections - 35 USC § 102*

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(a) the invention was known or used by others in this country, or patented or described in a printed publication in this or a foreign country, before the invention thereof by the applicant for a patent

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

Claims 1-14, 17-26, 28-38 & 40 are rejected under 35 U.S.C. 102(a) as being anticipated by Twede (US 20030103232).

Regarding claim 1, Twede discloses a method of automating a workflow, comprising:

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- transmitting (network, fig. 1), to a multifunction peripheral (printing device, fig. 1), information that identifies a name and a present state of the workflow (workflow, fig. 5, par. 21) at the multifunction peripheral;
- the multifunction peripheral (printing device, fig. 1) accepting, by way of a user input, a modification (modification, par. 46-47) to the workflow; and the multifunction peripheral inserting (insert via user interface, fig. 5) the modification into the workflow.

Regarding claim 2, Twede further discloses the method of claim 1, additionally comprising saving the modification and the workflow in a storage device (save icon 210, fig. 4).

Regarding claim 3, Twede further discloses the method of claim 1, wherein the modification (modification, par. 46-47) is the addition of a task to the workflow.

Regarding claim 4, Twede further discloses the method of claim 1, wherein the modification (modification, par. 46-47) is the deletion of a task to the workflow.

Regarding claim 5, Twede further discloses the method of claim 1, wherein the modification (modification, par. 46-47) pertains to receiving a user input at the multifunction peripheral (fig. 4, 7-8).

Regarding claim 6, Twede further discloses the method of claim 1, wherein the modification pertains to printing (printing, fig. 7-8) a document at the multifunction peripheral.

Regarding claim 7, Twede further discloses the method of claim 1, wherein the modification pertains to one of receiving and transmitting a facsimile (fig. 4) of a document at the multifunction peripheral.

Regarding claim 8, Twede further discloses the method of claim 1, wherein the modification pertains to scanning (fig. 4) a document into the multifunction peripheral.

Regarding claim 9, Twede further discloses the method of claim 1, wherein the modification pertains to a task that is to be performed at a multifunction peripheral that performs a subsequent task (e.g. store, print, email, and etc, fig. 4) in the workflow.

Regarding claim 10, Twede further discloses the method of claim 1, wherein the modification pertains to a task that is to be performed at a multifunction peripheral that performs a previous task (par. 46-47) in the workflow.

Regarding claim 11, Twede further discloses the method of claim 1, further comprising the step of presenting a list of tasks (list of tasks, fig. 4) of the workflow.

Regarding claim 12, Twede further discloses the method of claim 11, wherein at least one task of the list of tasks corresponds to a task that has been previously performed (par. 46-47) in the workflow.

Regarding claim 13, Twede further discloses the method of claim 11, wherein at least one task of the list of tasks corresponds to a task that has not yet been (new tasks, fig. 4, par. 46-47) performed in the workflow.

Regarding claim 14, Twede further discloses the method of claim 1; further comprising the user placing the multifunction peripheral into a workflow-training mode (fig. 8).

Regarding claim 17, Twede discloses a system for automating tasks (fig. 8) of a workflow (workflow, fig. 8), comprising:

- a computing device (computing device 102, fig. 1) that accepts inputs identifying at least some of the tasks of the workflow (par. 21);
- a plurality of multifunction peripherals (printing devices, fig. 1) that perform the at least some tasks of the workflow, wherein the plurality of the multifunction peripherals accepts inputs (fig. 4, par. 46-47) that modify the workflow.

Regarding claim 18, Twede further discloses the system of claim 17, wherein the computing device includes a memory (save icon 210, fig. 4) that stores the workflow modified by the accepted inputs.

Regarding claim 19, Twede further discloses the system of claim 17, further comprising a storage device (fig. 10) that stores the workflow modified by the accepted inputs.

Regarding claim 20, Twede further discloses the system of claim 17, wherein the tasks of the workflow include printing material (printing, fig. 4) using at least one of the plurality of multifunction peripherals.

Regarding claim 21, Twede further discloses the system of claim 17, wherein the tasks of the workflow include scanning (scanning, fig. 4) material into at least one of the plurality of the multifunction peripherals.

Regarding claim 22, Twede further discloses the system of claim 17, wherein the inputs that modify (modification, par. 46-47) the workflow are user inputs that add a task to the workflow.

Regarding claim 23, Twede further discloses the system of claim 22, wherein the task added (modification, par. 46-47) to the workflow is a request for a user input at one of the plurality of multifunction peripherals.

Regarding claim 24, Twede further discloses the system of claim 22, wherein the task added to the workflow is a task that instructs one of the plurality of the multifunction peripherals to print (printing, fig. 4) a page.

Regarding claim 25, Twede further discloses the system of claim 22, wherein the task added to the workflow is a task that instructs one of the plurality of the multifunction peripherals

to accept a page that is scanned (scanning, fig. 4) into one of the plurality of the multifunction peripherals.

Regarding claim 26, Twede further discloses the system of claim 17, wherein the inputs that modify the workflow are user inputs that delete (par. 46-47) a task of the workflow.

Regarding claim 28, Twede further discloses the system of claim 17, wherein the inputs that modify the workflow are in the form of touch screen inputs (fig. 4) received by way of a user interface of one of the plurality of multifunction peripheral.

Regarding claim 29, Twede discloses in a multifunction peripheral (printing device, fig.1), a method for modifying a workflow, comprising: receiving a list of workflow tasks (workflow tasks, fig. 4) from a communications network; presenting at least some of the workflow tasks to a user via a user interface (user interface, fig. 4); accepting (accepting via user interface, fig. 4) a modification to the workflow via the user interface; and inserting the modification (modify workflow, par. 46-47) into the workflow.

Regarding claim 30, Twede further discloses the method of claim 29, further comprising the step of receiving (via network, fig. 1) an input that identifies (private workflow, par. 27) the user to the multifunction peripheral, the receiving an input step being performed prior to the receiving a list of workflow tasks step.

Regarding claim 31, Twede further discloses the method of claim 29, additionally comprising the step of saving (save icon, fig. 4) the modification and the workflow in a memory.

Regarding claim 32, Twede further discloses the method of claim 29, additionally comprising the step of transmitting (transmitting via network, fig. 1) the workflow tasks, including the modification, to a second multifunction peripheral.

Regarding claim 33, Twede further discloses the method of claim 29, wherein the communications network connects (network, fig. 1) the multifunction peripheral to a computing device that transmits the list of workflow tasks to the multifunction peripheral.

Regarding claim 34, Twede further discloses the method of claim 29, wherein the workflow represents a process that includes one of printing material with the multifunction peripheral, scanning (scanning, fig. 4) material into the multifunction peripheral, sending an electronic message, encrypting information representing the material, transmitting a facsimile of the material, receiving a facsimile of the material, and storing information representing the material.

Regarding claim 35, Twede further discloses the method of claim 29, wherein the user interface includes at least one of a badge reader, a fingerprint reading device, a thumbprint-reading device, and a signature pad (par. 63).

Regarding claim 36, Twede further discloses a system (system, fig. 1) for automating a workflow, comprising:

- means for accepting (control panel interface, fig. 4) a list of tasks (list of tasks workflow, fig. 4) of the workflow, the tasks of the workflow being performed by at least one multifunction peripheral (printing device, fig. 1);
- means for presenting to a user (user interface, fig. 4), by way of the at least one multifunction peripheral, at least some of the tasks of the workflow; and
- means for accepting from the user a change (modification, par. 46-47) to the order of the tasks performed by the multifunction peripheral.

Regarding claim 37, Twede further discloses the system of claim 36, wherein the means for accepting the list of tasks further comprises a network interface (network, fig. 1) that permits the at least one multifunction peripheral to communicate with a second multifunction peripheral.



Regarding claim 38, Twede further discloses the system of claim 36, wherein the means for presenting the at least some of the tasks of the workflow is a display (control panel display, fig. 4) located on the peripheral.

Regarding claim 40, Twede further discloses the system of claim 36, wherein the means for accepting a change to the order of the tasks performed by the multifunction peripheral includes a touch screen (touch screen, fig. 4).

### *Claim Rejections - 35 USC § 103*

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 15-16, 27, and 39 are rejected under 35 U.S.C. 103(a) as being unpatentable over Twede as described in claims 1, 17, and 36 above, and in view of Smirnov et al (US 6546364).

Regarding claim 15, Twede fails to teach and/or suggest the name and the present state of the workflow are presented to a user by way of printed list, and wherein the user input to the multifunctional peripheral comprises the user making entries on the printed list.

Smirnov, in the same field of endeavor for generating and creating workflows, teaches a well-known example of the name and the present state of the workflow are presented to a user by way of printed list (printed workflow, fig. 2), and wherein the user input (col. 2, lines 20-32) to the multifunctional peripheral comprises the user making entries on the printed list.

It would have been obvious to one of ordinary skill in the art at the time of the invention was made to modify workflow of Twede to include a printed version as taught by Smirnov because of a following reason: (●) allowing users to view workflow on control panel user interface and on printed media.

Therefore, it would have been obvious to combine Twede with Smirnov to obtain the invention as specified in claim 15.

Regarding claim 16, Smirnov further discloses the method of claim 1, wherein the printed list includes a bar-coded label (using barcode to identify information is well known and widely use in the art) that identifies the printed list and the present state of the workflow to the multifunction peripheral.

Regarding claim 27, Twede further discloses the system of claim 17, wherein the inputs that modify the workflow are in the form of a list (lists, fig. 4) having machine-readable markings that identify a name and a present state of the workflow and at least some of the tasks of the workflow.

Regarding claim 39, Twede further discloses the system of claim 36, wherein the means for accepting a change to the order of the tasks performed by the multifunction peripheral includes a means for detecting that a bubble (icon bubble, fig. 4) has been filled in at a particular location.

### ***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Thierry L. Pham whose telephone number is (571) 272-7439. The examiner can normally be reached on M-F (9:30 AM - 6:00 PM).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, David K. Moore can be reached on (571)272-7437. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Thierry L. Pham

A handwritten signature in black ink, reading "Gabriel Garcia". The signature is fluid and cursive, with the first and last names clearly distinguishable.

GABRIEL I. GARCIA  
PRIMARY EXAMINER